

12/03/01  
11064 U.S. PTO

12-18-01

A

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Only for new nonprovisional applications under  
37 C.F.R. § 1.53(b)

Attorney Docket No.: AESN3006

First Inventor: Pham, Duc

Title: Scalable Network Media Access Controller  
and Methods

Express Mail Label: EV 003 785 705 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application  
contents.

**ADDRESS  
TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form
2. ☒ Applicant claims Small Entity Status
3. ☒ Specification [Total Pages 53 ]
4. ☒ Drawings [Total Sheets 9 ]
5. ☒ Declaration [Total Pages 3 ]
  - a. ☒ Newly Executed
  - b. ☐ Copy from a prior application
    - i. ☐ Deletion of Inventor(s)
6. ☐ Application Data Sheet
7. ☐ Microfiche Computer Program  
(Appendix)
8. ☐ Nucleotide and/or Amino Acid Seq.

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers
10. ☐ 37 C.F.R. § 3.73(b) Statement  
☐ Power of Attorney
11. ☐ English Translation Document
12. ☐ IDS and IDS Citation Copies
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard
15. ☐ Certified Copy of Priority Doc(s)
16. ☐ Nonpublication Request under 35  
USC 122 (b)(2)(B)(i). Must attach  
form PTO/SB/35
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING/PROVISIONAL APPLICATION, check appropriate box, and supply the  
requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) ☐ Provisional

Prior Application No: \_\_\_\_\_ Filed: \_\_\_\_\_ Examiner: \_\_\_\_\_ Group/ Art Unit: \_\_\_\_\_

Title: \_\_\_\_\_

- The accompanying Applications Papers are a true copy of the prior complete  
application identified above.
- 18a. ☐ Applicant(s) claim priority under 35 U.S.C. § 1.120 from the prior complete  
application identified above.
- 18b. ☐ Applicant(s) claim priority under 35 U.S.C. § 1.119(e) from the provisional application  
identified above.
- 18c. ☐

**19. Correspondence Address**

☒ Customer Number **23488**

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**23488**

PATENT TRADEMARK OFFICE

Name Gerald B. Rosenberg

Reg. No: 30,320

Signature

*Gerald B. Rosenberg*

Date: Dec 3, 2001

11017 U.S. PTO  
10/020554  
12/03/01

# FEE TRANSMITTAL for FY 2001

Application Number: N/A  
Filing Date: December 3, 2001  
First Inventor: Pham, Duc  
Examiner Name:  
Art Unit:

Total Amount of Payment \$ 511.00 Attorney Docket No.: AESN3006

## METHOD OF PAYMENT

- ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
Deposit Account Number: 50-0890  
Deposit Account Name: Gerald B. Rosenberg
- ☐ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
- ☐ Applicant claims small entity status. See, 37 C.F.R. 1.27
- ☒ Payment Enclosed:  
☒ Check ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Ent.	Small Ent.	Fee Description	FEE PAID
740.00	370.00	Utility filing fee	370.00
320.00	160.00	Design filing fee	
490.00	245.00	Plant filing fee	
710.00	355.00	Reissue filing fee	
160.00	80.00	Provisional filing fee	
SUBTOTAL (1)			370.00

### 2. EXTRA CLAIM FEES

Extra Claims		Fee Paid
Total Claims	<u>31</u> - 20* = <u>11</u> x 18.00 =	198.00
Independent Claims	<u>4</u> - 3* = <u>1</u> x 84.00 =	84.00
First Multiple Dependent	+ 280.00 =	
*or number previously paid, if greater		
Small Entity?	YES <input checked="" type="checkbox"/> x 0.5	
SUBTOTAL (2)		141.00


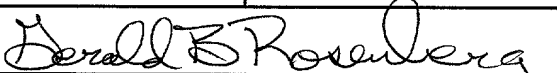
## 3. ADDITIONAL FEES

- 130.00 Surcharge - late filing fee or oath
- 50.00 Surcharge - late provisional filing fee or cover sheet
- 130.00 Non-English specification
- 2,520.00 For filing a request for reexamination
- 110.00 Extension for reply within first month
- 400.00 Extension for reply within second month
- 920.00 Extension for reply within third month
- 1440.00 Extension for reply within fourth month
- 1960.00 Extension for reply within fifth month
- 310.00 Notice of Appeal
- 310.00 Filing a brief in support of an appeal
- 270.00 Request for oral hearing
- 1,510.00 Petition to institute a public use proceeding
- 110.00 Petition to revive - unavoidable
- 1,240.00 Petition to revive - unintentional
- 1,280.00 Utility issue fee (or reissue)
- 130.00 Petitions to the Commissioner
- 50.00 Processing fee under 37 C.F.R. 1.17(q)
- 180.00 Submission of Information Disclosure Statement
- 40.00 Recording each patent assignment per property
- 710.00 Filing a submission after final rejection
- 710.00 For each additional invention to be examined
- Other

Small Entity? YES / NO x 0.5

SUBTOTAL (3)

## CORRESPONDENCE ADDRESS

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Name:	Gerald B. Rosenberg	Registration No: <u>30,320</u>	Telephone: 650.325.2100
Signature:			Date: <u>December 3, 2001</u>

**23488**  
PATENT TRADEMARK OFFICE